



07-11-07

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PATENT
Customer No. 22,852
Attorney Docket No. 9140.0025-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
DAWES, David) Group Art Unit: 2883
)
Application No.: 10/650,461) Examiner: DUPUIS, Derek L.
)
Filed: August 27, 2003)
)
For: OPTICALLY COUPLING INTO) Confirmation No.: 7106
HIGHLY UNIFORM WAVEGUIDES)

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER
37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicant brings to the attention of the Examiner the documents on the attached listing. This Supplemental Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), the Commissioner is hereby authorized to charge the fee to Deposit Account No. 06-0916.

Based on reasonable inquiry, no document listed in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and no document listed in this Supplemental Information Disclosure

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Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Supplemental Information Disclosure Statement.

Copies of the listed non-patent literature documents are attached. Copies of any U.S. patents and patent publications are not enclosed.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

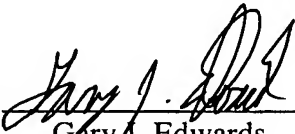
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: [Date]

By: 

Gary J. Edwards
Reg. No. 41,008

EXPRESS MAIL LABEL NO. EV 943276926 US

IDS Form PTO/SB/08: Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/650,461
				Filing Date	August 27, 2003
				First Named Inventor	DAWES, David
				Art Unit	2883
				Examiner Name	DUPUIS, Derek L.
Sheet	1	of	1	Attorney Docket Number	9140.0025-00

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁸
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁸
		Response to Office Action filed March 19, 2007, in U.S. Application No. 09/903,081 (Attorney Docket No. 9140.0014-00).	
		Final Office Action dated April 13, 2007, in U.S. Application No. 09/903,081 (Attorney Docket No. 9140.0014-00).	
		Response to Office Action filed March 1, 2007, in U.S. Application No. 11/100,856 (Attorney Docket No. 9140.0015-01).	
		Final Office Action dated May 2, 2007, in U.S. Application No. 10/101,863 (Attorney Docket No. 9140.0016-00).	
		Response to Office Action filed March 30, 2007, in U.S. Application No. 10/954,182 (Attorney Docket No. 9140.0016-01).	
		Notice of Non-Compliant Amendment dated April 12, 2007, in U.S. Application No. 10/954,182 (Attorney Docket No. 9140.0016-01).	
		Response to Notice of Non-Compliant Amendment filed April 23, 2007, in U.S. Application No. 10/954,182 (Attorney Docket No. 9140.0016-01).	
		Preliminary Amendment filed September 16, 2005, in U.S. Application No. 11/228,834 (Attorney Docket No. 9140.0016-02).	
		Preliminary Amendment filed July 27, 2005, in U.S. Application No. 11/191,643 (Attorney Docket No. 9140.0016-04).	
		Corrected Preliminary Amendment filed September 19, 2005, in U.S. Application No. 11/191,643 (Attorney Docket No. 9140.0016-04).	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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